

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/751,721	12/29/2000	John Brixius	17209-005	8324	
54205 CHADROUR?	7590 10/12/2007 NE & PARKE LLP		EXAM	EXAMINER	
30 ROCKEFE	LER·PLAZA		PATEL, J	AGDISH	
NEW YORK, NY 10112			ART UNIT	PAPER NUMBER	
		a.	3693		
·			MAIL DATE	DELIVERY MODE	
			10/12/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Advisory Action Before the Filing of an Appeal Brief

Application No.	Applicant(s)
09/751,721	BRIXIUS, JOHN
Examiner	Art Unit
JAGDISH PATEL	3693

	JAGDISH PATEL	3093	
The MAILING DATE of this communication appe	ars on the cover sheet with the	correspondence add	ress
THE REPLY FILED 07 September 2007 FAILS TO PLACE THIS			
1.  The reply was filed after a final rejection, but prior to or on this application, applicant must timely file one of the follow places the application in condition for allowance; (2) a No a Request for Continued Examination (RCE) in compliance time periods:	the same day as filing a Notice of ving replies: (1) an amendment, af tice of Appeal (with appeal fee) in the with 37 CFR 1.114. The reply m	Appeal. To avoid aba fidavit, or other evider compliance with 37 C	nce, which FR 41.31; or (3)
<ul> <li>a)</li></ul>	dvisory Action, or (2) the date set forth ater than SIX MONTHS from the mailin	g date of the final rejecti	on.
Examiner Note: If box 1 is checked, check either box (a) or ( TWO MONTHS OF THE FINAL REJECTION. See MPEP 7	(b). ONLY CHECK BOX (b) WHEN TH 06.07(f).	E FIRST REPLY WAS F	ILED WITHIN
Extensions of time may be obtained under 37 CFR 1.136(a). The date have been filed is the date for purposes of determining the period of ex under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the set forth in (b) above, if checked. Any reply received by the Office later may reduce any earned patent term adjustment. See 37 CFR 1.704(b) NOTICE OF APPEAL	on which the petition under 37 CFR 1. tension and the corresponding amount shortened statutory period for reply orige than three months after the mailing date.	of the fee. The appropr inally set in the final Offi	iate extension fee ce action; or (2) as
<ol> <li>The Notice of Appeal was filed on A brief in comp filing the Notice of Appeal (37 CFR 41.37(a)), or any exte a Notice of Appeal has been filed, any reply must be filed</li> </ol>	nsion thereof (37 CFR 41.37(e)), to	o avoid dismissal of th	ns of the date of ne appeal. Since
AMENDMENTS			
3. The proposed amendment(s) filed after a final rejection,  (a) They raise new issues that would require further co  (b) They raise the issue of new matter (see NOTE belo  (c) They are not deemed to place the application in belonger.	nsideration and/or search (see NC w);	TE below);	
appeal; and/or			ule issues ioi
(d) They present additional claims without canceling a	corresponding number of finally re	jected claims.	
NOTE: (See 37 CFR 1.116 and 41.33(a)). 4. The amendments are not in compliance with 37 CFR 1.1	21. See attached Notice of Non Co	ampliant Amondment	(DTOL -324)
			(1 TOL-524).
<ul> <li>5. Applicant's reply has overcome the following rejection(s)</li> <li>6. Newly proposed or amended claim(s) would be all non-allowable claim(s).</li> </ul>			ent canceling the
7.  For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is pro	will not be entered, or b)      wided below or appended.	ill be entered and an o	explanation of
The status of the claim(s) is (or will be) as follows: Claim(s) allowed:			
Claim(s) objected to: <u>10-11</u> . Claim(s) rejected: <u>1-7,9, 12-18 and 20-23</u> .	·		
Claim(s) withdrawn from consideration:  AFFIDAVIT OR OTHER EVIDENCE			
<ol> <li>The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good an was not earlier presented. See 37 CFR 1.116(e).</li> </ol>	it before or on the date of filing a N d sufficient reasons why the affida	lotice of Appeal will <u>no</u> vit or other evidence i	ot be entered s necessary and
9. The affidavit or other evidence filed after the date of filing entered because the affidavit or other evidence failed to a showing a good and sufficient reasons why it is necessar	overcome <u>all</u> rejections under appe y and was not earlier presented. S	eal and/or appellant fa See 37 CFR 41.33(d)(	ils to provide a 1).
10. The affidavit or other evidence is entered. An explanation REQUEST FOR RECONSIDERATION/OTHER	n of the status of the claims after e	entry is below or attac	hed.
11. The request for reconsideration has been considered bu See Continuation Sheet.	it does NOT place the application	in condition for allowa	nce because:
<ul><li>12. ☐ Note the attached Information Disclosure Statement(s).</li><li>13. ☐ Other:</li></ul>	(PTO/SB/08) Paper No(s)		

Continuation of 11. does NOT place the application in condition for allowance because: The applicant's argument regrading claims 22 and 23 rejection under 35 USC 101 are not persuasive because the claimed inventions are directed to a "computer instruction" embodided in a "digital data stream" generated by a specific method steps and not a "computer readable medim" (a product that embodies the program which when executed by a computer performs the method".

Applicant's argument that the term "legal option" is not equivalent to the "restrictions" is not persuasive because the process steps of automatically generating documentation supporting of the trade is not patentably distinguished whether the generated document include "restrictions" or "legal opinions". These two terms are not patentably distinct. (The examiner need not give patentable weight to descriptive material absent a new and nonobvious functional relationship between the descriptive material and the substrate (in this case the descriptive material is "leagal opinion" and the substrate is the (automatically) generating documenation supportive of the trade). See In re Lowry, 32 F.3d 1579, 1583-84, 32 USPQ2d 1031, 1035 (Fed. Cir. 1994); In re Ngai, 367 F.3d 1336, 70 USPQ2d 1862 (Fed. Cir. 2004).

JAGDISH N. PATEL PRIMARY EXAMINER